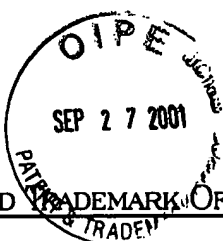




## UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/865,165         | 05/24/2001          | Hiroshi Harada        | 35498-1006             |

CONFIRMATION NO. 2832

## FORMALITIES LETTER



\*OC000000006330158\*

Mitchell P. Brook  
Baker & McKenzie  
Twelfth Floor  
101 Broadway  
San Diego, CA 92101

Date Mailed: 07/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/28/2001 MWOLDER1 00000154 09865165

02 FC:105

130.00 0P



PATENTS  
Atty. Docket No. 35498-1006

*Section 3*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                                  |   |                 |                |
|----------------------------------|---|-----------------|----------------|
| In re Application of:            | ) | Group Art Unit: | To be assigned |
| Hiroshi HARADA et al.            | ) |                 |                |
|                                  | ) | Examiner:       | To be assigned |
| Serial No.: 09/865,165           | ) |                 |                |
|                                  | ) |                 |                |
| Filed: May 24, 2001              | ) |                 |                |
|                                  | ) |                 |                |
| For: RECEIVER, RECEIVING METHOD, | ) |                 |                |
| AND RECORDING MEDIUM WHICH       | ) |                 |                |
| RECORDS PROGRAM FOR RECEIVING    | ) |                 |                |
| DATA SIGNALS                     | ) |                 |                |

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER And  
RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Dear Sir:

In response to the Notification of Missing Requirements under 35 U.S.C. 371, mailed July 23, 2001, enclosed please find the following. Items 1-3 are in response to the Notice to File

Missing Parts of Application:

1. Return copy of the Notification of Missing Requirements under 35 U.S.C. 371, mailed July 23, 2001;
2. Check in the amount of \$170.00 to cover the surcharge for late filing of the declaration and for recording fee for Assignment;
3. Combined Declaration and Power of Attorney executed by the inventor;

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Mail Service as First Class Mail on the date shown below with sufficient postage in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: September 18, 2001

Signature:

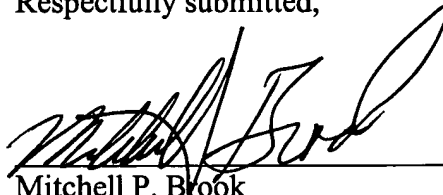
Karen M. Cruz

578687v1

4. Assignment; and
5. Recordation Form Cover Sheet.

Although it is believed no additional fee is due for this filing, in the event that the Examiner determines an additional fee is due, the Commissioner is authorized to charge any deficiency, or credit any overpayment, to our deposit account, No. 02-0410 in the name of Baker & McKenzie. A duplicate copy of this letter is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Mitchell P. Brook', is written over a horizontal line.

Mitchell P. Brook

Attorney for Applicant

Reg. No. 32,967

c/o BAKER & MCKENZIE  
101 West Broadway, 12th Floor  
San Diego, California 92101  
(619) 236-1441